### What's New in ACTS

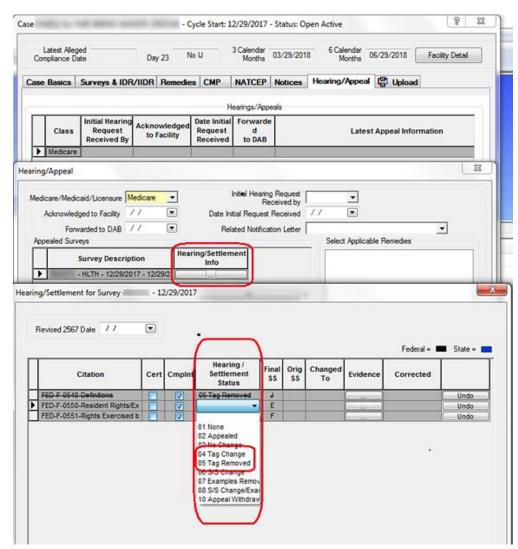
ASPEN 11.3 and 11.5 Releases

#### **ASPEN 11.3**

- Tags changed by hearing/settlement do not print on CMS-2567/2567b
- Is IJ? and SA Completed date
- Reports

## Changed by Hearing/Settlement

Tags that are changed or removed by hearing/settlement in AEM do not print on the CMS-2567/2567b form in ACO, ACTS, or ASPEN Web: ePOC. These tags behave the same as a tag changed or removed by IDR/IIDR.



## Is IJ? and SA Completed date

- The investigation SA Completed date is no longer set when the "CoPs out of compliance – is there an IJ field" is relevant but empty.
- The SA Completed date remains empty if the "Is IJ" field is empty for any citation. This prevents SA personnel from incorrectly stopping work on an investigation. SA Completed is automatically set when all necessary fields are complete.
- The following edit applies to SA users, not RO users:
  - When condition-level or EMTALA citations exist, a response to the "Is there an IJ?" question is required.

## Report Changes

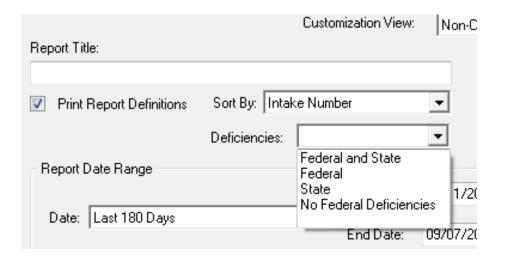
- Deemed Provider Regional report
- Substantiated Complaints Detail report
- Investigations Lacking Survey Upload report

### Deemed Provider Regional Report

Previously, if an ACTS RO deselected one of the facility types on the filter window leaving a large number of facility types (but not all) selected, the report went indefinitely to Delayed status. This issue has been addressed.

# Substantiated Complaints Detail report

A new option, No Federal Deficiencies, was added to the Deficiencies filter on the report customization window



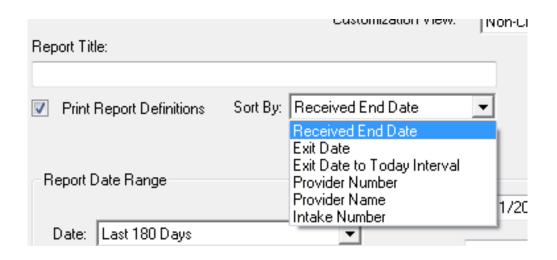
# Substantiated Complaints Detail report continued

- Includes only substantiated complaints that lack a federal deficiency related (linked) to any of the intake's allegations, regardless of whether state deficiencies are linked to one or more of the allegations
- Complaints are included when the report is filtered to No Federal Deficiencies even if the investigation includes unrelated federal deficiencies, i.e., deficiencies not linked to an allegation

# Investigations Lacking Survey Upload report

Two new options in Sort By filter on the report customization window:

- Exit Date
- Exit Date to Today Interval



#### Exit Date

- Report is sorted by the Survey Exit column (oldest to newest)
- Facilities that have investigation survey exit dates on the same day are sorted in alphabetical order by name, then by facility ID.
- Facilities with multiple intakes that have surveys with the same exit date, the surveys are in intake number order

## Exit Date to Today Interval

(i.e., the number of days between the survey exit date and today's date)

- Report is sorted by the Interval Days column in numerical order
- Facilities with the same interval value are sorted in alphabetical order by name, then by facility ID if the names are identical
- Facilities with multiple intakes that have the same interval value are in intake number order

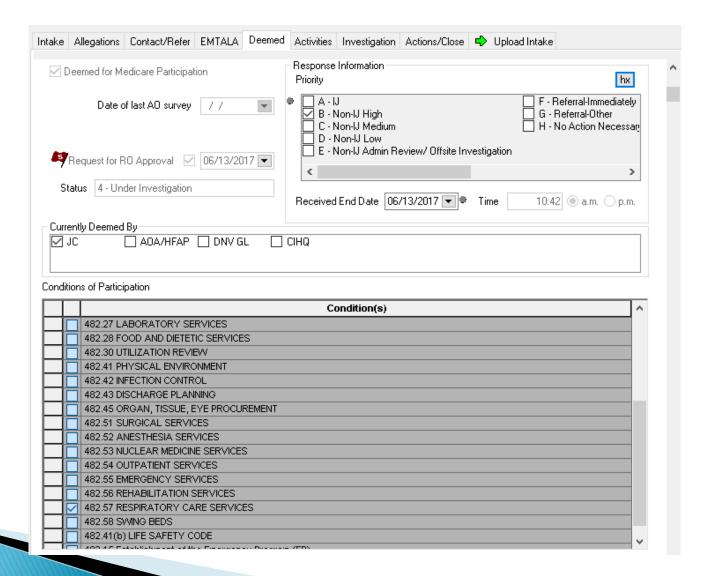
#### **ASPEN 11.5**

- Deeming for ESRD Facilities
- X6 Admin Signoff date allowed when deficiency-free ePOC surveys upload, and required if deficiencies exist

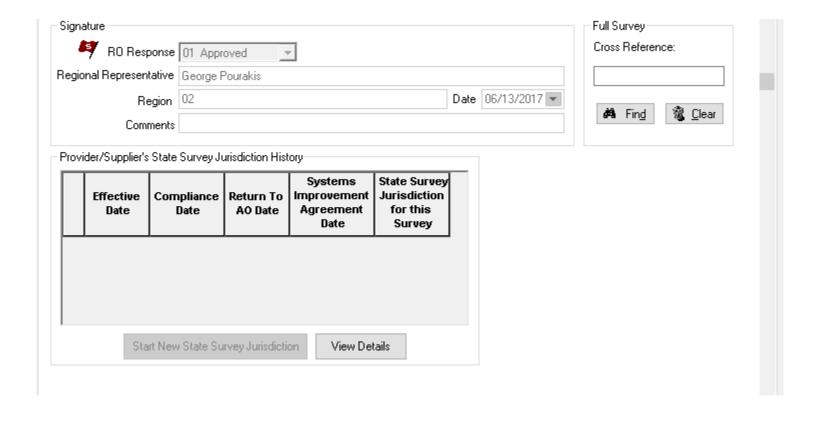
## Deeming for ESRD Facilities

- Deemed tab is included on intakes
- Validation surveys are available for Deemed ESRDs
- CMS-2802 will print for ESRDs

#### Deemed Tab



### Deemed Tab continued



## CMS 2802 Report

DEPARTMENT OF HEALTH AND HUMAN SERVICES CENTERS FOR MEDICARE & MEDICAID SERVICES	NY00200914
AUTHORIZATION FOR STATE AGEN	CY HOSPITAL VALIDATION SURVEY
1. NAME AND ADDRESS OF STATE AGENCY  New York State Department of Health  - 12054 (518)408-1638	2. NAME AND ADDRESS OF PROVIDER WYOMING COUNTY COMMUNITY HOSPITAL 400 NORTH MAIN STREET WARSAW, NY, 14569  CMS CERTIFICATION NUMBER: 330008
3. THIS HOSPITAL IS CURRENTLY DEEMED BY (NONE OR MORE THAN 1 MAY BE (  X JC DNV GL  AOA/HFAP CHQ	CHECKED): NONE
4. CHECK A OR B; DO NOT CHECK BOTH  A. THIS VALIDATION SURVEY IS BASED ON A SAMPLE SELECTION.  1. PLEASE CONDUCT A FULL VALIDATION SURVEY FOLLOWING THE PROTOCO CERTIFICATION SURVEY WITHIN 60 CALENDAR DAYS OF SURVEY END DATE.  THE SCHEDULED END DATE OF THE ACCREDITATION SURVEY IS:  IF APPLICABLE, CHECK ONE OF THE FOLLOWING:  THIS IS AN INITIAL ACCREDITATION SURVEY FOR THIS CURRENTLY PARTICIF  THIS IS AN INITIAL ACCREDITATION SURVEY FOR THIS AO; HOSPITAL IS CURSE  THIS IS A MID-CYCLE VALIDATION SURVEY. PLEASE CONDUCT A FULL VAL PROCEDURES FOR A MEDICARE CERTIFICATION SURVEY.	(ENTER AO NAME) ACCREDITATION  PATING, NON-DEEMED HOSPITAL.  RENTLY DEEMED.
SA MUST COMPLETE ALL VALIDATION PACKET DOCUMENTS LISTED IN EXI	HIBIT 63.
B. X THIS VALIDATION SURVEY IS BASED ON ALLEGATIONS OF SIGNIFIC HEALTH AND SAFETY OF PATIENTS. CHECK ONE OF THE FOLLOWIN POTENTIAL IJ—INITIATE SURVEY WITHIN 2 WORKING DAYS OR X INITIATE SURVEY WITHIN 45 CALENDAR DAYS SAMUST NOT NOTIFY THE HOSPITAL OR AO IN ADVANCE OF THE SURVEY	

## CMS 2802 Report continued

482.1 Basis and Scope		482.41		) LIFE SAFETY CODE		
482.2	NON-PARTICIPATING HOSPITALS, EMERGENCIES COMPLIANCE WITH LAWS		482.42	INFECTION CONTROL DISCHARGE PLANNING		
482.11			482.43			
482.12	GOVERNING BODY		482.45	ORGAN, TISSUE, EYE PROCUREMENT		
X 482.13	182.21 QAPI 182.22 MEDICAL STAFF		482.51	SURGICAL SERVICES		
482.21			482.52	ANESTHESIA SERVICES		
482.22			482.53	NUCLEAR MEDICINE SERVICES		
482.23			482.54	OUTPATIENT SERVICES  EMERGENCY SERVICES  REHABILITATION SERVICES  RESPIRATORY CARE SERVICES		
482.24 MEDICAL RECORD SERVICES			482.55			
482.25	Condition of Participation: Pharmaceutical Se  RADIOLOGIC SERVICES  LABORATORY SERVICES  FOOD AND DIETETIC SERVICES		482.56			
482.26			482.57			
482.27			482.58	SWING BEDS		
482.28			482.15	Establishment of the Emergency Program (EP)		
482.30	UTILIZATION REVIEW					
482.41	PHYSICAL ENVIRONMENT					
SIGNATURE OF REGIO	ONAL REPRESENTATIVE	7. REGION		8. DATE		
eorge Pourakis		02		05/23/2017		

# Admin Signoff (X6) Date

- Deficiency-free (i.e., memo-tag only)
   ePOC surveys:
  - ePOC sets X6 on provider acknowledgement
  - Survey uploads successfully, but this X6 Admin Signoff date does not upload
- For Emergency Preparedness (EP) tags, X6 upload edits now correctly evaluate solely health EP tags for a health survey, or LSC EP tags for an LSC survey.