

What's New in ACO/ARO

ASPEN Releases: 11.3 | 11.5 | 11.6



ASPEN 11.3

- Tags changed by hearing/settlement - ACO, ACTS, AEM, ePOC do not print on CMS-2567/2567b
- CMPTS List report sort options added - ARO, AEM
- IJ indicator - ACO, ASE-Q
- Delete STAR surveys associated with deleted certification kits
- V13 on CMS-3427 not required on initial certification surveys
- Include building information if no LSC survey
- Escrow Payments Received field added to CMP tab
- G+ Enforcement Report

Changed by Hearing/Settlement

Tags that are changed or removed by hearing/settlement in AEM do not print on the CMS-2567/2567b form in ACO, ACTS, or ASPEN Web: ePOC. These tags behave the same as a tag changed or removed by IDR/IIDR.

The screenshot displays the AEM system interface. At the top, a header bar shows 'Case' information, including 'Cycle Start: 12/29/2017' and 'Status: Open Active'. Below this, a navigation bar includes tabs for 'Case Basics', 'Surveys & IDR/IIDR', 'Remedies', 'CMP', 'NATCEP', 'Notices', 'Hearing/Appeal', and 'Upload'. The 'Hearing/Appeal' tab is selected.

The 'Hearings/Appeals' section contains a table with columns: 'Class', 'Initial Hearing Request Received By', 'Acknowledged to Facility', 'Date Initial Request Received', 'Forwarded to DAB', and 'Latest Appeal Information'. A row for 'Medicare' is visible.

Below this, the 'Hearing/Appeal' form is shown. It includes fields for 'Medicare/Medicaid/Licensure' (set to 'Medicare'), 'Initial Hearing Request Received by', 'Acknowledged to Facility', 'Date Initial Request Received', 'Forwarded to DAB', and 'Related Notification Letter'. There is also a 'Select Applicable Remedies' section.

The 'Appealed Surveys' section shows a table with columns 'Survey Description' and 'Hearing/Settlement Info'. A row for 'HLTH - 12/29/2017 - 12/29/2017' is highlighted, with the 'Hearing/Settlement Info' column circled in red.

Below this, the 'Hearing/Settlement for Survey' form is shown. It includes a 'Revised 2567 Date' field and a table with columns: 'Citation', 'Cert', 'Cmpnt', 'Hearing / Settlement Status', 'Final SS', 'Orig SS', 'Changed To', 'Evidence', 'Corrected', and an 'Undo' button. The 'Hearing / Settlement Status' column is circled in red, and a dropdown menu is open, showing options: '01 None', '02 Appealed', '03 No Change', '04 Tag Change', '05 Tag Removed', '06 S/S Change', '07 Examples Remove', '08 S/S Change/Exat', and '10 Appeal Withdrawal'. The '05 Tag Removed' option is highlighted.

Citation	Cert	Cmpnt	Hearing / Settlement Status	Final SS	Orig SS	Changed To	Evidence	Corrected	Undo
FED-F-0540-Definitions	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	05 Tag Removed	J					Undo
FED-F-0550-Resident Rights/Ex	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	05 Tag Removed	E					Undo
FED-F-0551-Rights Exercised b	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	05 Tag Removed	F					Undo

CMPTS List Report Sort Options

The screenshot shows a software window titled "CMPTS List (RO Mode)". Inside, there is a "Filter Options" section with a "Report Time Period" sub-section. This sub-section contains four fields: "Date" with a "Date Range" dropdown, "First Date" with a date selector showing "11/20/2017", "Last Date" with a date selector showing "11/20/2018", and "Fiscal Year" with a dropdown showing "All". To the right of these are three labels: "Sort Order", "Region", and "Payment Status", each followed by a dropdown menu. The "Sort Order" dropdown is open, displaying a list of options: "CMPTS Case #", "Provider #", "Facility Name", "Cycle Start Date", "CMPTS Case #" (highlighted in blue), "Amount Paid", "Date Paid", "Unpaid CMP Amount", "Amt Sent to Offset", "Date Sent to Offset", "Amt Sent to Treasury", and "Date Sent to Treasury". Below the filter options is an "OK" button.

CMPTS List (RO Mode)

Filter Options

Report Time Period

Date: Date Range

First Date: 11/20/2017

Last Date: 11/20/2018

Fiscal Year: All

Sort Order: CMPTS Case #

Region:

Payment Status:

Provider Type:

OK

CMPTS Case #

Provider #

Facility Name

Cycle Start Date

CMPTS Case #

Amount Paid

Date Paid

Unpaid CMP Amount

Amt Sent to Offset

Date Sent to Offset

Amt Sent to Treasury

Date Sent to Treasury

CMPTS List Report

You can sort the displayed CMPTS List by any column. If the list is sorted by CMPTS #, Facility Name, Provider #, or Cycle Start date, the corresponding Sort Order option will be the default for the report; otherwise, the default will be CMPTS #.

Printed: 11/20/2016 11:55AM

CMPTS List

Report Filter: Date: 11/21/2016 to 11/20/2018 Sort Order: CMPTS Case # Region: 03 Payment Status: All Provider Type: LTC

Provider #	Facility Name	Cycle Start Date	CMPTS Case #	Amount Date Paid	Unpaid CMP Amount	Amt Sent Date Sent to Offset	Amt Sent Date Sent to Treasury
5	GREI	12/14/2016	201	133,594.50 03/23/2017	0.00	0.00	0.00
0	UNIT	12/05/2016	201	121,960.80 04/18/2017	0.00	0.00	0.00
2	DEVI	11/23/2016	201	46,582.25 05/08/2017	0.00	0.00	0.00
4	KIND	02/09/2017	201	63,501.75 04/28/2017	0.00	0.00	0.00
4	AMEI	02/28/2017	201	72,150.00 05/17/2017	0.00	0.00	0.00
3	FORI	11/29/2016	201	0.00	213,525.00	0.00	0.00
4	CON	02/08/2017	201	86,481.85 05/18/2017	0.00	0.00	0.00
4	SEN	01/26/2017	201	0.00	0.00	0.00	0.00
5	EAST	02/07/2017	201	0.00	53,353.30	0.00	0.00
4	THE	03/01/2017	201	0.00	140,680.80	0.00	0.00
5	WHI	12/15/2016	201	0.00	30,909.45	0.00	0.00
3	MAN	01/26/2017	201	0.00	2,120.95	0.00	0.00
4	AUT	02/23/2017	201	0.00	114,636.60	0.00	0.00
4	DIN	03/15/2017	201	0.00	1,950.00	0.00	0.00


IJ Indicator

The Immediate Jeopardy (IJ) indicator now appears for condition-level and certain EMTALA standard-level citations for non-LTC providers (including CLIA) in citation properties. This enables staff to quickly identify IJ situations at the citation level. In addition, users of CASPER reports and QIES Workbench will be able to identify citations associated with an IJ situation.


COPs Associated with IJ

“CoPs associated with an Immediate Jeopardy?” (Yes/No) is displayed on the Citation Properties dialog on the first survey in a series to include the citation, usually the regular/standard survey, but also on any revisit if a condition-level or EMTALA tag is first discovered during the revisit. This indicator appears for any federal survey: certification, complaint, special survey, FMS, as well as combined federal surveys.

Citation Properties for Tag #0370

Severity/Scope (A-L) ☐  Grid

Citation Category CoPs associated with an Immediate Jeopardy?

 E-Initial Certification

POC Detail

POC Received from Facility

Facility POC Complete (X5)

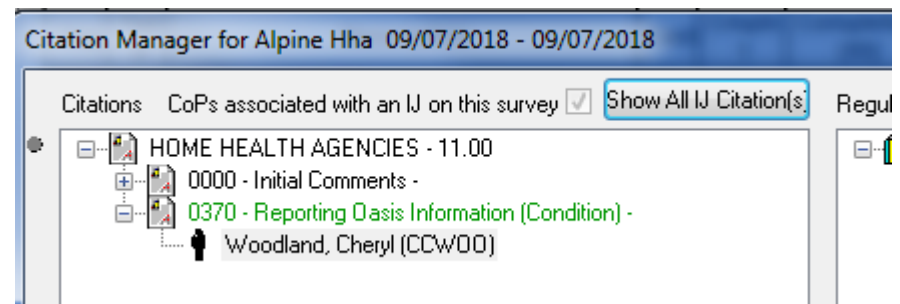
SA POC Accepted

Set All Tags to These POC Dates

OK Cancel Help

Citation Manager

- The Citation Manager includes a survey-level IJ indicator - “CoP associated with an IJ on this survey” and a Show all IJ Citation(s) button. The survey-level indicator checkbox is selected if the citation-level IJ indicator is set to Y for any citation on the survey.
- When you press the Show All IJ Citations button, a list of all condition-level and designated EMTALA standard-level citations on the current survey with IJ =Yes is displayed.



STAR Surveys

ASPEN overnight processing was modified to first delete STAR surveys associated with a certification kit before deleting the kit. You will no longer receive an error message about-associated STAR surveys when a certification kit is deleted.

V13 on CMS-3427

V13 on the CMS-3427 form is only required on re-certification surveys of End-Stage Renal Disease (ESRD) facilities. The edit requiring that this field be completed on initial certifications has been removed.

Current modalities/services for dialysis facilities requesting recertification only (check all that apply): (V13)

<input type="checkbox"/> 1. In-center Hemodialysis (HD)	<input type="checkbox"/> 2. In-center Peritoneal Dialysis (PD)	<input type="checkbox"/> 3. In-center Nocturnal HD
<input type="checkbox"/> 4. Home HD Training & Support	<input type="checkbox"/> 5. HD in LTC	
<input type="checkbox"/> 6. Home PD Training & Support	<input type="checkbox"/> 7. PD in LTC	<input type="checkbox"/> 8. Dialyzer Reuse

Building Information

ASPEN uploads will separate building detail transactions from LSC surveys on certification (ODIE) transactions. This allows building updates to be posted to the national reporting system when LSC surveys are not performed for a certification.

Escrow Payments Received

- Escrow Payments Received field added on CMP tab
 - For Long Term Care enforcement cases, a field named Escrow Pmts Rec'd was added on the CMP tab next to the Pmts Rec'd field. This lets RO staff determine if escrow payments have been made per hearing agreements, without having to open the CMPTS case.

The screenshot shows a web application interface with a tabbed menu at the top: Case Basics, Surveys & IDR/IIDR, Remedies, CMP, NATCEP, Notices, Hearing/Appeal, and Upload. The 'CMP' tab is selected. Below the tabs is a section titled 'Case CMP Detail' with a sub-header 'Current Total Across All CMPs'. On the left, there is a dollar sign icon and a form with three rows: 'Referred to DOJ' with a dropdown menu showing '//', 'DOJ Response Due' with a dropdown menu showing '//', and 'DOJ Response NOT Received' with a dropdown menu showing '//'. To the right of this is a table with columns: Amt. Due, Pmts. Rec'd, and Escrow Pmts Rec'd. The table has two rows: 'Federal' and 'State'. Both rows show '0.00' in the 'Amt. Due' and 'Pmts. Rec'd' columns. The 'Escrow Pmts Rec'd' column is highlighted with a red box, and it also shows '0.00'. To the right of the table are two buttons: 'Apply Full Pmt' and 'Apply Full Pmt'.

	Amt. Due	Pmts. Rec'd	Escrow Pmts Rec'd	
Federal	0.00	0.00	0.00	Apply Full Pmt
State	0.00	0.00	0.00	Apply Full Pmt

AEM - Escrow Collected Field

- Escrow Pmts Rec'd displays only for LTC facilities, not HHA or CLIA, and is read-only on the CMP tab. It is populated from the Escrow Collected field in the associated CMPTS case. If the Escrow Collected value changes, it carries forward to Escrow Pmts Rec'd.

The screenshot displays the 'CMPTS Detail (CMPTS Super User Mode)' window. The 'CMP Allocation' section is active, showing fields for Medicare, Medicaid, and Escrow. The 'Escrow Account Totals' section is highlighted with a red box, showing the 'Escrow Collected' field with a value of 1000.00. Other fields in this section include Escrow Interest Accrued, Principal Returned To Facility, Interest Returned To Facility, Medicare / Medicaid Escrow Interest Accrued, Amount Retained, and Escrow Balance.

CMP Allocation	
Current CMP Allocation	
Medicare	
# Residents	2
Amount	0.00
Escrow	
Amount	2500.00
Medicaid	
# Residents	59
Amount	0.00
CMP Totals	
Interest	0.00
Total Due	
Payments Received	0.00
Balance	0.00
Offset and Treasury Totals	
Date Sent To Offset	//
Amount Sent To Offset	0.00
Date Sent To Treasury	//
Amount Sent to Treasury	0.00

Escrow Account Totals	
Escrow Collected	1000.00
Escrow Interest Accrued	0.00
Principal Returned To Facility	0.00
Interest Returned To Facility	0.00
Medicare / Medicaid Escrow Interest Accrued	0.00
Amount Retained	0.00
Escrow Balance	1000.00

G+ Enforcement Report

- As part of LTCSP Phase 2, only certain remedies will be used on certain covered Phase 2 tags cited at Scope/Severity G or above for 18 months for surveys with no:
 - Non-covered Phase 2 tags cited at S/S G or above or
 - Phase 1 Tags cited at S/S G or above
- The covered Phase 2 tags are F655, F740, F741, F758, F838, F865, F881, F926.
- The non-covered Phase 2 tags are F574, F608, F622, F725, F744, F756, F790, F791, F801.

Access G+ Enforcement Report

- You can access the report from the Enforcement Reports menu in ACO/ARO.
- In ARO only, you can access the report from Reports/Regional Reports.

ASPEN 11.5

- Deeming for ESRD Facilities ACO, ACTS
- MDS Viewer Updated
- X6 Admin Signoff date allowed when deficiency-free ePOC surveys upload, and required if deficiencies exist ACO, ACTS, ePOC
- Activate the CMS-2567 Sent to Facility field

Deeming for ESRD Facilities


- Deemed tab is included on intakes
- Validation surveys are available for Deemed ESRDs
- CMS-2802 will print for ESRDs

Deemed Tab

Intake Allegations Contact/Refer EMTALA **Deemed** Activities Investigation Actions/Close Upload Intake

☒ Deemed for Medicare Participation

Date of last AO survey / /

 Request for RO Approval ☒ 06/13/2017

Status 4 - Under Investigation

Response Information

Priority hx

☐ A - IJ
 ☐ F - Referral-Immediately
☒ B - Non-IJ High
 ☐ G - Referral-Other
☐ C - Non-IJ Medium
 ☐ H - No Action Necessary
☐ D - Non-IJ Low
☐ E - Non-IJ Admin Review/ Offsite Investigation

Received End Date 06/13/2017 Time 10:42 ☒ a.m. ☐ p.m.

Currently Deemed By


☒ JC
 ☐ AOA/HFAP
 ☐ DNV GL
 ☐ CIHQ

Conditions of Participation

	Condition(s)
<input type="checkbox"/>	482.27 LABORATORY SERVICES
<input type="checkbox"/>	482.28 FOOD AND DIETETIC SERVICES
<input type="checkbox"/>	482.30 UTILIZATION REVIEW
<input type="checkbox"/>	482.41 PHYSICAL ENVIRONMENT
<input type="checkbox"/>	482.42 INFECTION CONTROL
<input type="checkbox"/>	482.43 DISCHARGE PLANNING
<input type="checkbox"/>	482.45 ORGAN, TISSUE, EYE PROCUREMENT
<input type="checkbox"/>	482.51 SURGICAL SERVICES
<input type="checkbox"/>	482.52 ANESTHESIA SERVICES
<input type="checkbox"/>	482.53 NUCLEAR MEDICINE SERVICES
<input type="checkbox"/>	482.54 OUTPATIENT SERVICES
<input type="checkbox"/>	482.55 EMERGENCY SERVICES
<input type="checkbox"/>	482.56 REHABILITATION SERVICES
<input checked="" type="checkbox"/>	482.57 RESPIRATORY CARE SERVICES
<input type="checkbox"/>	482.58 SAVING BEDS
<input type="checkbox"/>	482.41(b) LIFE SAFETY CODE
<input type="checkbox"/>	482.45 Establishment of the Emergency Department (ED)

Deemed Tab continued

Signature

 RO Response

01 Approved

Regional Representative

George Pourakis

Region

02


Date


06/13/2017

Comments

Full Survey

Cross Reference:

 Find

 Clear

Provider/Supplier's State Survey Jurisdiction History

Effective Date	Compliance Date	Return To AO Date	Systems Improvement Agreement Date	State Survey Jurisdiction for this Survey

Start New State Survey Jurisdiction

View Details

CMS 2802 Report

DEPARTMENT OF HEALTH AND HUMAN SERVICES CENTERS FOR MEDICARE & MEDICAID SERVICES		NY00200914						
AUTHORIZATION FOR STATE AGENCY HOSPITAL VALIDATION SURVEY								
1. NAME AND ADDRESS OF STATE AGENCY New York State Department of Health - 12054 (518)408-1638		2. NAME AND ADDRESS OF PROVIDER WYOMING COUNTY COMMUNITY HOSPITAL 400 NORTH MAIN STREET WARSAW, NY, 14569 CMS CERTIFICATION NUMBER: 330008						
3. THIS HOSPITAL IS CURRENTLY DEEMED BY (NONE OR MORE THAN 1 MAY BE CHECKED): <table><tr><td><input checked="" type="checkbox"/> JC</td><td><input type="checkbox"/> DNV GL</td><td><input type="checkbox"/> NONE</td></tr><tr><td><input type="checkbox"/> AOA/HFAP</td><td><input type="checkbox"/> CIHQ</td><td></td></tr></table>			<input checked="" type="checkbox"/> JC	<input type="checkbox"/> DNV GL	<input type="checkbox"/> NONE	<input type="checkbox"/> AOA/HFAP	<input type="checkbox"/> CIHQ	
<input checked="" type="checkbox"/> JC	<input type="checkbox"/> DNV GL	<input type="checkbox"/> NONE						
<input type="checkbox"/> AOA/HFAP	<input type="checkbox"/> CIHQ							
4. CHECK A OR B; DO NOT CHECK BOTH								
A. <input type="checkbox"/> THIS VALIDATION SURVEY IS BASED ON A SAMPLE SELECTION.								
1. <input type="checkbox"/> PLEASE CONDUCT A FULL VALIDATION SURVEY FOLLOWING THE PROTOCOLS AND PROCEDURES FOR A MEDICARE CERTIFICATION SURVEY WITHIN 60 CALENDAR DAYS OF _____ (ENTER AO NAME) ACCREDITATION SURVEY END DATE. THE SCHEDULED END DATE OF THE ACCREDITATION SURVEY IS: _____ IF APPLICABLE, CHECK ONE OF THE FOLLOWING: <table><tr><td><input type="checkbox"/></td><td>THIS IS AN INITIAL ACCREDITATION SURVEY FOR THIS CURRENTLY PARTICIPATING, NON-DEEMED HOSPITAL.</td></tr><tr><td><input type="checkbox"/></td><td>THIS IS AN INITIAL ACCREDITATION SURVEY FOR THIS AO; HOSPITAL IS CURRENTLY DEEMED.</td></tr></table>			<input type="checkbox"/>	THIS IS AN INITIAL ACCREDITATION SURVEY FOR THIS CURRENTLY PARTICIPATING, NON-DEEMED HOSPITAL.	<input type="checkbox"/>	THIS IS AN INITIAL ACCREDITATION SURVEY FOR THIS AO; HOSPITAL IS CURRENTLY DEEMED.		
<input type="checkbox"/>	THIS IS AN INITIAL ACCREDITATION SURVEY FOR THIS CURRENTLY PARTICIPATING, NON-DEEMED HOSPITAL.							
<input type="checkbox"/>	THIS IS AN INITIAL ACCREDITATION SURVEY FOR THIS AO; HOSPITAL IS CURRENTLY DEEMED.							
2. <input type="checkbox"/> THIS IS A MID-CYCLE VALIDATION SURVEY. PLEASE CONDUCT A FULL VALIDATION SURVEY FOLLOWING THE PROTOCOLS AND PROCEDURES FOR A MEDICARE CERTIFICATION SURVEY. SA MUST COMPLETE ALL VALIDATION PACKET DOCUMENTS LISTED IN EXHIBIT 63.								
OR								
B. <input checked="" type="checkbox"/> THIS VALIDATION SURVEY IS BASED ON ALLEGATIONS OF SIGNIFICANT DEFICIENCIES WHICH COULD AFFECT THE HEALTH AND SAFETY OF PATIENTS. CHECK ONE OF THE FOLLOWING: <table><tr><td><input type="checkbox"/></td><td>POTENTIAL 1--INITIATE SURVEY WITHIN 2 WORKING DAYS</td></tr><tr><td colspan="2" style="text-align: center;">OR</td></tr><tr><td><input checked="" type="checkbox"/></td><td>INITIATE SURVEY WITHIN 45 CALENDAR DAYS</td></tr></table>			<input type="checkbox"/>	POTENTIAL 1--INITIATE SURVEY WITHIN 2 WORKING DAYS	OR		<input checked="" type="checkbox"/>	INITIATE SURVEY WITHIN 45 CALENDAR DAYS
<input type="checkbox"/>	POTENTIAL 1--INITIATE SURVEY WITHIN 2 WORKING DAYS							
OR								
<input checked="" type="checkbox"/>	INITIATE SURVEY WITHIN 45 CALENDAR DAYS							
SA MUST NOT NOTIFY THE HOSPITAL OR AO IN ADVANCE OF THE SURVEY								

CMS 2802 Report continued

5. AREAS TO BE SURVEYED (FOR SAMPLE VALIDATION SURVEYS, CHECK ALL; FOR ALLEGATION SURVEYS, CHECK ALL APPLICABLE CONDITIONS, AND, IF APPLICABLE, THE LIFE SAFETY CODE STANDARD):			
<input type="checkbox"/>	482.1	Basis and Scope	<input type="checkbox"/> 482.41(b) LIFE SAFETY CODE
<input type="checkbox"/>	482.2	NON-PARTICIPATING HOSPITALS, EMERGENCIES	<input type="checkbox"/> 482.42 INFECTION CONTROL
<input type="checkbox"/>	482.11	COMPLIANCE WITH LAWS	<input type="checkbox"/> 482.43 DISCHARGE PLANNING
<input type="checkbox"/>	482.12	GOVERNING BODY	<input type="checkbox"/> 482.45 ORGAN, TISSUE, EYE PROCUREMENT
<input checked="" type="checkbox"/>	482.13	PATIENT RIGHTS	<input type="checkbox"/> 482.51 SURGICAL SERVICES
<input type="checkbox"/>	482.21	QAPI	<input type="checkbox"/> 482.52 ANESTHESIA SERVICES
<input type="checkbox"/>	482.22	MEDICAL STAFF	<input type="checkbox"/> 482.53 NUCLEAR MEDICINE SERVICES
<input type="checkbox"/>	482.23	NURSING SERVICES	<input type="checkbox"/> 482.54 OUTPATIENT SERVICES
<input type="checkbox"/>	482.24	MEDICAL RECORD SERVICES	<input type="checkbox"/> 482.55 EMERGENCY SERVICES
<input type="checkbox"/>	482.25	Condition of Participation: Pharmaceutical Se	<input type="checkbox"/> 482.56 REHABILITATION SERVICES
<input type="checkbox"/>	482.26	RADIOLOGIC SERVICES	<input type="checkbox"/> 482.57 RESPIRATORY CARE SERVICES
<input type="checkbox"/>	482.27	LABORATORY SERVICES	<input type="checkbox"/> 482.58 SLEEP BEDS
<input type="checkbox"/>	482.28	FOOD AND DIETETIC SERVICES	<input type="checkbox"/> 482.15 Establishment of the Emergency Program (EP)
<input type="checkbox"/>	482.30	UTILIZATION REVIEW	
<input type="checkbox"/>	482.41	PHYSICAL ENVIRONMENT	

6. SIGNATURE OF REGIONAL REPRESENTATIVE George Pourakis	7. REGION 02	8. DATE 05/23/2017
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Form CMS-2802 (01/10)

ORIGINAL TO: STATE SURVEY AGENCY
COPIES TO: CMSO/SGC/DACS

MDS Viewer

In order for SA, RO, and CO staff members to view revised MDS 3.0 data, the ASPEN MDS viewer has been updated to support the new MDS assessment definition.

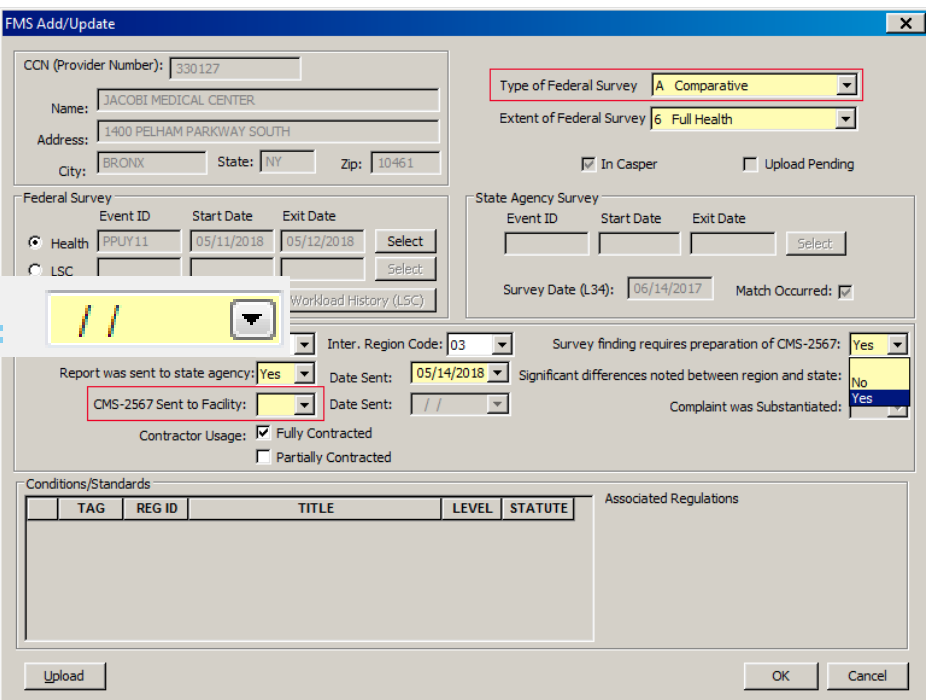
Admin Signoff (X6) Date

- Deficiency-free (i.e. memo-tag only) ePOC surveys
 - ePOC sets X6 on provider acknowledgement
 - Survey uploads successfully, but this X6 Admin Signoff date does not upload
- For Emergency Preparedness (EP) tags, X6 upload edits now correctly evaluate solely health EP tags for a health survey, or LSC EP tags for an LSC survey.

CMS 2567 Sent to Facility

The CMS-2567 Sent to Facility field is now enabled for FMS Comparative surveys. If you select Yes for CMS-2567 Sent, the associated Date Sent field is activated.

CMS-2567 Sent to Facility: Yes **Date Sent:** //



The screenshot shows the 'FMS Add/Update' form. The 'CMS-2567 Sent to Facility' field is highlighted with a red box, and its value is 'Yes'. The 'Date Sent' field is also highlighted with a red box, and its value is '//'. The form includes various other fields such as 'CCN (Provider Number)', 'Name', 'Address', 'City', 'State', 'Zip', 'Type of Federal Survey', 'Extent of Federal Survey', 'In Casper', 'Upload Pending', 'Federal Survey', 'State Agency Survey', 'Survey Date (L34)', 'Match Occurred', 'Report was sent to state agency', 'Date Sent', 'Significant differences noted between region and state', 'Complaint was Substantiated', 'Contractor Usage', 'Conditions/Standards', and 'Associated Regulations'.

TAG	REG ID	TITLE	LEVEL	STATUTE
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ASPEN 11.6

- Add tag via IDR/IIDR - ACO, ACTS, AEM
- Generate reports when user name contains apostrophe - ACO, ACTS
- Eliminate gap maximum between 670 arrival and Date of Survey - ACO, ACTS

Add Tag via IDR/IIDR

- When there is a determination that a new citation should be added as a result of IDR/IIDR, you can open the Informal Dispute Resolution window and click the IDR Add Tag button.
- You will then select survey team members and the new tag.

Survey Citation IDR Status

IDR Add Tag Federal = ☐ State = ☐

	Citation	Cert	Cmplnt	IDR Status	Final SS	Orig SS	SS Before IDR	SS After IDR	IDR Changed To	Evidence	IDR Re Res
<input type="checkbox"/>	FED-F-0240-CARE AND ENVIR	<input checked="" type="checkbox"/>	<input type="checkbox"/>	01 None	E					...	
<input type="checkbox"/>	FED-F-0272-COMPREHENSIVE	<input checked="" type="checkbox"/>	<input type="checkbox"/>	01 None	D					...	
<input checked="" type="checkbox"/>	FED-F-0309-PROVIDE CARE/SE	<input checked="" type="checkbox"/>	<input type="checkbox"/>	01 None	D					...	
<input type="checkbox"/>	FED-F-0315-NO CATHETER, PR	<input checked="" type="checkbox"/>	<input type="checkbox"/>	01 None	D					...	
<input type="checkbox"/>	FED-F-0441-INFECTION CONTR	<input checked="" type="checkbox"/>	<input type="checkbox"/>	01 None	D					...	
<input type="checkbox"/>	FED-F-0514-RES RECORDS-CO	<input checked="" type="checkbox"/>	<input type="checkbox"/>	01 None	D					...	
<input type="checkbox"/>	FED-F-0520-QAA COMMITTEE-	<input checked="" type="checkbox"/>	<input type="checkbox"/>	01 None	C					...	
<input type="checkbox"/>	ST-P-0400-Responsibility of lice	<input checked="" type="checkbox"/>	<input type="checkbox"/>	01 None						...	

Print OK Cancel

Generate Reports

For users with an apostrophe in the first and/or last names in the Staff Directory, the reports now generate as expected and are added to the monitoring table in National with correct date/time information entered.

Gap Maximum Rule

A business rule mandated that the Arrival date specified on the CMS-670 for personnel on:

- Health and/or LSC survey(s) associated with a certification kit
- Complaint investigation surveys

could not be more than one year before Date of Survey (L34)
- aka Certification Date, i.e., the survey Exit date.

To address these issues and improve survey processing efficiency, the business rule and upload edit check have been eliminated.