What's New in ACO/ARO

ASPEN Releases: 11.3 | 11.5 | 11.6

ASPEN 11.3

- Tags changed by hearing/settlement - ACO, ACTS, AEM, ePOC do not print on CMS-2567/2567b
- CMPTS List report sort options added - ARO, AEM
- IJ indicator - ACO, ASE-Q
- Delete STAR surveys associated with deleted certification kits
- V13 on CMS-3427 not required on initial certification surveys
- Include building information if no LSC survey
- Escrow Payments Received field added to CMP tab
- G+ Enforcement Report

Changed by Hearing/Settlement

Tags that are changed or removed by hearing/ settlement in AEM do not print on the CMS-2567/2567b form in ACO, ACTS, or ASPEN Web: ePOC. These tags behave the same as a tag changed or removed by IDR/ IIDR.

CMPTS List Report Sort Options

CMPTS List Report

You can sort the displayed CMPTS List by any column. If the list is sorted by CMPTS #, Facility Name, Provider #, or Cycle Start date, the corresponding Sort Order option will be the default for the report; otherwise, the default will be CMPTS #.

Printed: 11/20/2018 11:55AM

CMPTS List

Report Filter: Date: 11/21/2016 to 11/20/2018   Sort Order: CMPTS Case #   Region: 03   Payment Status: All   Provider Type: LTC

Provider #	Facility Name	Cycle Start Date	CMPTS Case #	Amount Date Paid Paid	Unpaid CMP Amount	Amt Sent Date Sent to Offset to Offset	Amt Sent Date Sent to Treasury to Treasury
5	GREI	12/14/2016	201	133,594.50 03/23/2017	0.00	0.00	0.00
0	UNIT	12/05/2016	201	121,960.80 04/18/2017	0.00	0.00	0.00
2	DEVI	11/23/2016	201	46,582.25 05/08/2017	0.00	0.00	0.00
4	KIND	02/09/2017	201	63,501.75 04/28/2017	0.00	0.00	0.00
4	AMEI	02/28/2017	201	72,150.00 05/17/2017	0.00	0.00	0.00
3	FORI	11/29/2016	201	0.00	213,525.00	0.00	0.00
4	CON	02/08/2017	201	86,481.85 05/18/2017	0.00	0.00	0.00
4	SEN	01/26/2017	201	0.00	0.00	0.00	0.00
5	EAST	02/07/2017	201	0.00	53,353.30	0.00	0.00
4	THE	03/01/2017	201	0.00	140,680.80	0.00	0.00
5	WHIT	12/15/2016	201	0.00	30,909.45	0.00	0.00
3	MAN	01/26/2017	201	0.00	2,120.95	0.00	0.00
4	AUTI	02/23/2017	201	0.00	114,636.60	0.00	0.00
4	DINW	03/15/2017	201	0.00	1,950.00	0.00	0.00

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IJ Indicator

The Immediate Jeopardy (IJ) indicator now appears for condition-level and certain EMTALA standard-level citations for non-LTC providers (including CLIA) in citation properties. This enables staff to quickly identify IJ situations at the citation level. In addition, users of CASPER reports and QIES Workbench will be able to identify citations associated with an IJ situation.

COPs Associated with IJ

"CoPs associated with an Immediate Jeopardy?" (Yes/No) is displayed on the Citation Properties dialog on the first survey in a series to include the citation, usually the regular/standard survey, but also on any revisit if a condition-level or EMTALA tag is first discovered during the revisit. This indicator appears for any federal survey: certification, complaint, special survey, FMS, as well as combined federal surveys.

Citation Manager

- The Citation Manager includes a survey-level IJ indicator - "CoP associated with an IJ on this survey" and a Show all IJ Citation(s) button. The survey-level indicator checkbox is selected if the citation-level IJ indicator is set to Y for any citation on the survey.

- When you press the Show All IJ Citations button, a list of all condition-level and designated EMTALA standard-level citations on the current survey with IJ =Yes is displayed.
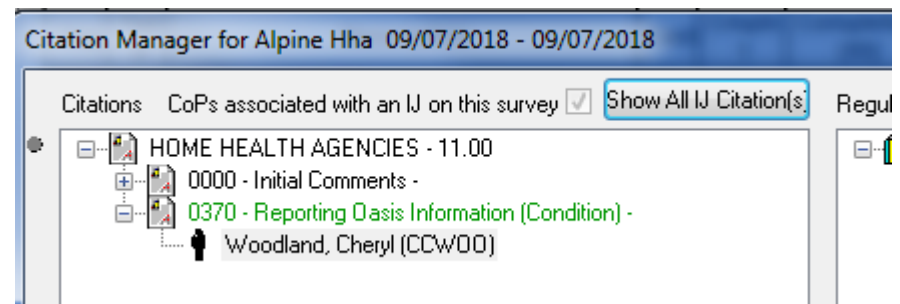
STAR Surveys

ASPEN overnight processing was modified to first delete STAR surveys associated with a certification kit before deleting the kit. You will no longer receive an error message about-associated STAR surveys when a certification kit is deleted.

V13 on CMS-3427

V13 on the CMS-3427 form is only required on re-certification surveys of End-Stage Renal Disease (ESRD) facilities. The edit requiring that this field be completed on initial certifications has been removed.

Current modalities/services for dialysis facilities requesting recertification only (check all that apply): (V13)

☐ 1. In-center Hemodialysis (HD)   ☐ 2. In-center Peritoneal Dialysis (PD)   ☐ 3. In-center Nocturnal HD

☐ 4. Home HD Training & Support   ☐ 5. HD in LTC

☐ 6. Home PD Training & Support   ☐ 7. PD in LTC   ☐ 8. Dialyzer Reuse

Building Information

ASPEN uploads will separate building detail transactions from LSC surveys on certification (ODIE) transactions. This allows building updates to be posted to the national reporting system when LSC surveys are not performed for a certification.

Escrow Payments Received

- Escrow Payments Received field added on CMP tab
  - For Long Term Care enforcement cases, a field named Escrow Pmts Rec'd was added on the CMP tab next to the Pmts Rec'd field. This lets RO staff determine if escrow payments have been made per hearing agreements, without having to open the CMPTS case.

AEM - Escrow Collected Field

- Escrow Pmts Rec'd displays only for LTC facilities, not HHA or CLIA, and is read-only on the CMP tab. It is populated from the Escrow Collected field in the associated CMPTS case. If the Escrow Collected value changes, it carries forward to Escrow Pmts Rec'd.

G+ Enforcement Report

- As part of LTCSP Phase 2, only certain remedies will be used on certain covered Phase 2 tags cited at Scope/Severity G or above for 18 months for surveys with no:
  - Non-covered Phase 2 tags cited at S/S G or above or
  - Phase 1 Tags cited at S/S G or above
- The covered Phase 2 tags are F655, F740, F741, F758, F838, F865, F881, F926.
- The non-covered Phase 2 tags are F574, F608, F622, F725, F744, F756, F790, F791, F801.

Access G+ Enforcement Report

- You can access the report from the Enforcement Reports menu in ACO/ARO.
- In ARO only, you can access the report from Reports/Regional Reports.

ASPEN 11.5

- Deeming for ESRD Facilities ACO, ACTS
- MDS Viewer Updated
- X6 Admin Signoff date allowed when deficiency-free ePOC surveys upload, and required if deficiencies exist ACO, ACTS, ePOC
- Activate the CMS-2567 Sent to Facility field

Deeming for ESRD Facilities

- Deemed tab is included on intakes
- Validation surveys are available for Deemed ESRDs
- CMS-2802 will print for ESRDs

Deemed Tab

Deemed Tab continued

CMS 2802 Report

DEPARTMENT OF HEALTH AND HUMAN SERVICES
CENTERS FOR MEDICARE & MEDICAID SERVICES

AUTHORIZATION FOR STATE AGENCY HOSPITAL VALIDATION SURVEY

1. NAME AND ADDRESS OF STATE AGENCY	2. NAME AND ADDRESS OF PROVIDER
New York State Department of Health	WYOMING COUNTY COMMUNITY HOSPITAL
	400 NORTH MAIN STREET
- 12054	WARSAW, NY, 14569
(518)408-1638	
	CMS CERTIFICATION NUMBER: 330008

3. THIS HOSPITAL IS CURRENTLY DEEMED BY (NONE OR MORE THAN 1 MAY BE CHECKED):

[X] JC   [ ] DNV GL   [ ] NONE

[ ] AOA/HFAP   [ ] CIHQ

4. CHECK A OR B; DO NOT CHECK BOTH

A. [ ]   THIS VALIDATION SURVEY IS BASED ON A SAMPLE SELECTION.

1. [ ]   PLEASE CONDUCT A FULL VALIDATION SURVEY FOLLOWING THE PROTOCOLS AND PROCEDURES FOR A MEDICARE CERTIFICATION SURVEY WITHIN 60 CALENDAR DAYS OF_____(ENTER AO NAME) ACCREDITATION SURVEY END DATE.

THE SCHEDULED END DATE OF THE ACCREDITATION SURVEY IS: _____

IF APPLICABLE, CHECK ONE OF THE FOLLOWING:

[ ]   THIS IS AN INITIAL ACCREDITATION SURVEY FOR THIS CURRENTLY PARTICIPATING, NON-DEEMED HOSPITAL.

[ ]   THIS IS AN INITIAL ACCREDITATION SURVEY FOR THIS AO; HOSPITAL IS CURRENTLY DEEMED.

2. [ ]   THIS IS A MID-CYCLE VALIDATION SURVEY. PLEASE CONDUCT A FULL VALIDATION SURVEY FOLLOWING THE PROTOCOLS AND PROCEDURES FOR A MEDICARE CERTIFICATION SURVEY.

SA MUST COMPLETE ALL VALIDATION PACKET DOCUMENTS LISTED IN EXHIBIT 63.

OR

B. [X]   THIS VALIDATION SURVEY IS BASED ON ALLEGATIONS OF SIGNIFICANT DEFICIENCIES WHICH COULD AFFECT THE HEALTH AND SAFETY OF PATIENTS. *CHECK ONE OF THE FOLLOWING:*

[ ]   POTENTIAL IJ—INITIATE SURVEY WITHIN 2 WORKING DAYS
OR
[X]   INITIATE SURVEY WITHIN 45 CALENDAR DAYS

SA MUST NOT NOTIFY THE HOSPITAL OR AO IN ADVANCE OF THE SURVEY

CMS 2802 Report continued

5. AREAS TO BE SURVEYED (*FOR SAMPLE VALIDATION SURVEYS, CHECK ALL; FOR ALLEGATION SURVEYS, CHECK ALL APPLICABLE CONDITIONS, AND, IF APPLICABLE, THE LIFE SAFETY CODE STANDARD*):

☐ 482.1	Basis and Scope		☐ 482.41(b)	LIFE SAFETY CODE
☐ 482.2	NON-PARTICIPATING HOSPITALS, EMERGENCIES		☐ 482.42	INFECTION CONTROL
☐ 482.11	COMPLIANCE WITH LAWS		☐ 482.43	DISCHARGE PLANNING
☐ 482.12	GOVERNING BODY		☐ 482.45	ORGAN, TISSUE, EYE PROCUREMENT
☒ 482.13	PATIENT RIGHTS		☐ 482.51	SURGICAL SERVICES
☐ 482.21	QAPI		☐ 482.52	ANESTHESIA SERVICES
☐ 482.22	MEDICAL STAFF		☐ 482.53	NUCLEAR MEDICINE SERVICES
☐ 482.23	NURSING SERVICES		☐ 482.54	OUTPATIENT SERVICES
☐ 482.24	MEDICAL RECORD SERVICES		☐ 482.55	EMERGENCY SERVICES
☐ 482.25	Condition of Participation: Pharmaceutical Se		☐ 482.56	REHABILITATION SERVICES
☐ 482.26	RADIOLOGIC SERVICES		☐ 482.57	RESPIRATORY CARE SERVICES
☐ 482.27	LABORATORY SERVICES		☐ 482.58	SWING BEDS
☐ 482.28	FOOD AND DIETETIC SERVICES		☐ 482.15	Establishment of the Emergency Program (EP)
☐ 482.30	UTILIZATION REVIEW			
☐ 482.41	PHYSICAL ENVIRONMENT			

6. SIGNATURE OF REGIONAL REPRESENTATIVE	7. REGION	8. DATE
George Pourakis	02	05/23/2017

Form CMS-2802 (01/10)

ORIGINAL TO: STATE SURVEY AGENCY
COPIES TO: CMSO/SGC/DACS

MDS Viewer

In order for SA, RO, and CO staff members to view revised MDS 3.0 data, the ASPEN MDS viewer has been updated to support the new MDS assessment definition.
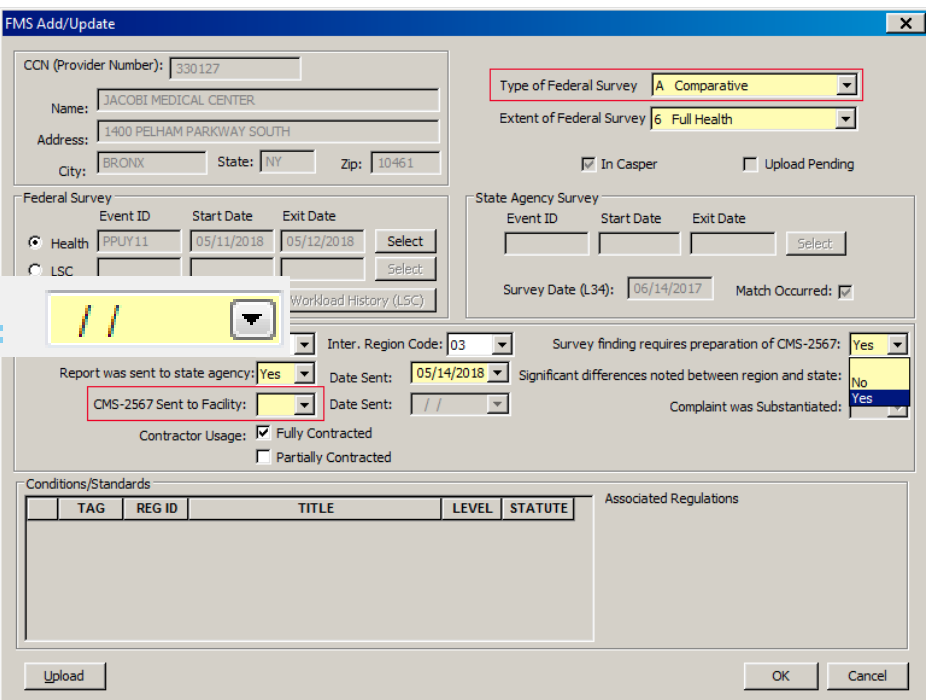
Admin Signoff (X6) Date

- Deficiency-free (i.e. memo-tag only) ePOC surveys
  - ePOC sets X6 on provider acknowledgement
  - Survey uploads successfully, but this X6 Admin Signoff date does not upload
- For Emergency Preparedness (EP) tags, X6 upload edits now correctly evaluate solely health EP tags for a health survey, or LSC EP tags for an LSC survey.

CMS 2567 Sent to Facility

The CMS-2567 Sent to Facility field is now enabled for FMS Comparative surveys.
If you select Yes for CMS-2567 Sent, the associated Date Sent field is activated.

ASPEN 11.6

- Add tag via IDR/IIDR - ACO, ACTS, AEM
- Generate reports when user name contains apostrophe - ACO, ACTS
- Eliminate gap maximum between 670 arrival and Date of Survey - ACO, ACTS

Add Tag via IDR/IIDR

- When there is a determination that a new citation should be added as a result of IDR/IIDR, you can open the Informal Dispute Resolution window and click the IDR Add Tag button.

- You will then select survey team members and the new tag.

Generate Reports

For users with an apostrophe in the first and/or last names in the Staff Directory, the reports now generate as expected and are added to the monitoring table in National with correct date/time information entered.

Gap Maximum Rule

A business rule mandated that the Arrival date specified on the CMS-670 for personnel on:

- Health and/or LSC survey(s) associated with a certification kit
- Complaint investigation surveys

could not be more than one year before Date of Survey (L34) - aka Certification Date, i.e., the survey Exit date.

To address these issues and improve survey processing efficiency, the business rule and upload edit check have been eliminated.