

CMS Hospice Software Developer / Vendor Call

Meeting Minutes

Wednesday, July 8, 2015
Time: 1:00 p.m. to 2:00p.m. (ET)

Welcome----- Lori Grocholski, DQSAS

- Welcome and thank you for joining our Hospice software developer/vendor call related to the Hospice Data submission of the Hospice Item Set (HIS).
- On this call we will cover the Hospice Technical Data Submission Specification v1.02.0 updates, VUT information, and submitted Q & As relating to the April 1, 2016 release. If time allows we will have an open Q & A session at the end of this call.
- Please note that CMS policies related to the Hospice Item Set (HIS) are not the focus of the software developer / vendor calls.

Data Submission Specification Updates ----- John Jackson, General Dynamics

- Version 1.02.0 of the HIS Data Submission Specifications has been posted to the CMS site.

<u>Data Spec Version</u>	<u>Effective Start Date</u>	<u>Effective End Date</u>
1.00.....	07/01/14	06/27/15
1.01.....	06/28/15	03/31/16
1.02.....	04/01/16	none

- Please note that the version numbers of the HIS item set, the HIS Data Submission Specifications, and the HIS Validation Utility Tool are independent of each other. There is no intent to have all the versions match up because the data specifications can change even if the item set has not, and the Validation Utility Tool can be updated with new features while the item set and data specifications stay the same.
- For version 1.02 of the data specifications:
 - The item set did not change, so there are no new items or deleted items.
 - Edits were loosened on two items: ITM_SET_VRSN_CD and SPEC_VRSN_CD. If a submitted record contains an invalid value for either of these items, a warning (new edit -3065) – not a fatal error – will be generated. We do strongly encourage vendors to use the valid values and preferably have the version numbers correspond to the specifications that will be employed to validate the record. Note that this change was first introduced in the errata for version 1.01 of the data submission specifications, and the change is retroactive for all HIS records.
 - Edit -3033 was deleted. This warning edit defined time spans between Z0500B (signature date) and A0220 (admission date) for admission records, and between Z0500B and A0270 (discharge date) for discharge records.

Validation Utility Tool----- John Jackson, General Dynamics

- The Hospice VUT will be updated to accommodate the changes in the v1.02 data specifications, while continuing to support prior data specifications when processing older records.
- No other features are planned for the Hospice VUT at this time.

ASAP System Enhancements ----- Jana Linthicum, Telligen

- The ASAP system will edit records with a target date on or after April 1, 2016 using the version 1.02 of the data specifications. It will continue to edit records with a target date prior to April 1, 2016 using the

appropriate set of data specifications in effect for the target date of the submitted record. The target date is the admission date on an admission record and the discharge date on a discharge record.

- The ASAP system will now create a system generated Final Validation Report in XML format along with the current TXT version of the report.
- Error message -907 has been updated to state this is a duplicate assessment of a previously accepted record rather than a previously submitted record.
 - Old text: Duplicate Assessment: The submitted record is a duplicate of a previously submitted record.
 - New text: Duplicate Assessment: The submitted record is a duplicate of a previously accepted record.
- Reason for assessment will be added to the record processing sort order.
 - 1) Sort records by state code and then Facility ID
 - 2) The next criterion shall be target date.
 - 3) If two records have the same target date, then sort by type of record (A0050). First sort Inactivation (A0050 = 3), then New (A0050 = 1), then Modification (A0050 = 2) records
 - 4) Next, sort the records by A0250 (Reason for Record Code): first Admission (A0250 = 01) and then Discharge (A0250 = 09)
 - 5) Finally, sort the records in ascending order by Correction Number.
- The Patient Medicare Number (HICN) has been added to the following reports: the ASAP system-generated Final Validation Report, the CASPER user-requested Hospice Final Validation Report, the CASPER user-requested Hospice Submitter Final Validation Report and the Hospice Item Sets Submitted Report.
- The CASPER Report Submit page now limits the length of the Submission ID to a length of 15 on the Hospice Final Validation Report and the Hospice Submitter Final Validation Report.
- The CASPER Report Submit page not limits the length of the Assessment ID to a length of 15 on the Hospice Item Set print report.

Q&As from Hospice Mailbox ----- Lori Grocholski, DQSAS

Question 1:

I have downloaded the validation utility tool to validate HIS xml files from <https://qtso.com/vendorhospice.html>. When I try to validate HIS xml files of version 1.01, then it gives error 3065. If I try to validate HIS xml files of version 1.00, then it is working fine.

Response to Question 1: The item ITM_SET_VRSN_CD did not change for this release, since the item set did not change. It is still 1.00. However, the item SPEC_VRSN_CD, did change. It will accept 1.00, 1.01 and 1.02 as valid values.

Question 2:

Please confirm the effective dates, and ending dates if applicable, of the following versions of HIS manuals:

- HIS Manual V1.00.0
- HIS Manual V1.01
- HIS Manual V1.02 (currently in effect)

Response to Question 2: There may be some confusion regarding effective dates for the HIS Data Submission Specifications and the HIS Manual. Version 1.02 of the HIS Manual is effective June 28, 2015 and Version 1.01.0 of the HIS Data Submission Specifications is effective June 28, 2015. The version numbers differ for the specs and the manual.

- Version 1.00 of the HIS Data Submission Specifications was effective 7/1/14
- Version 1.01.0 of the HIS Data Submission Specifications is effective on June 28, 2015.

- Version 1.02.0 of the HIS Data Submission Specifications is effective April 1, 2016
- Version 1.02 of the HIS Manual is effective June 28, 2015

Question 3:

We are a vendor currently in the process of coding for the upcoming HIS V1.01 changes, and I am seeking some clarification in regards to the SPEC_VRSN_CD requirements. As a vendor, can we send an item value of '1.01' in the SPEC_VRSN_CD item for all records submitted as of 6/28/15, regardless of the target date? Information found on page 3 of the Hospice Data Submission Specifications Overview states that, "the value submitted does not affect or control in any way the version of the data specs that is applied to the submitted record. This is controlled solely by the target date of the submitted record". I also did not see any edit listed that would reject a record if the target is prior to 6/28/15, but the SPEC_VRSN_CD value is '1.01'. Due to the complexity of creating separate files for multiple sites based on the version, please clarify if the submitted SPEC_VRSN_CD value should be in alignment with the target date, or if a value of '1.01' can be submitted on all records as of 6/28/15.

Response to Question 3: While it is preferable to have the value of SPEC_VRSN_CD match the target date, both old and new records can be submitted using the valid value of '1.01'.

Open Q & A Session ----- Lori Grocholski, DQSAS

Question— What will the tags be on the XML file that is returned from the ASAP system for failed submissions? Is there a sample posted for both the failed and successful submissions?

Answer— A mockup of the final validation report including the tags has been prepared. Lori authorized posting of the sample validation report on the QIES Technical Support Office website (QTSO) at <https://www.qtso.com/vendorhospice.html>.

Closing ----- Lori Grocholski, DQSAS

If you think of questions later, please send them to our mailbox hospicetechnicalissues@CMS.hhs.gov. Please note important resources listed at the bottom of the agenda and the meeting minutes from this call. Thanks to our speakers today and thank you for calling in to stay updated on the future changes to the Hospice program.

Important Resources

QTSO.com

<https://www.gtso.com>

<https://www.gtso.com/vendor.html>

<https://www.gtso.com/vendorhospice.html>

CMS.gov - Hospice Quality Reporting

<http://www.cms.gov/Medicare/Quality-Initiatives-Patient-Assessment-Instruments/Hospice-Quality-Reporting/index.html?redirect=/Hospice-Quality-Reporting>

CMS.gov - Spotlight & Announcements

<http://www.cms.gov/Medicare/Quality-Initiatives-Patient-Assessment-Instruments/Hospice-Quality-Reporting/Spotlight.html>

CMS.gov - HIS Technical Information

<http://www.cms.gov/Medicare/Quality-Initiatives-Patient-Assessment-Instruments/Hospice-Quality-Reporting/HIS-Technical-Information.html>

CMS.gov - Details for CMS Form Number: CMS-10390 (Hospice Item Set)

<http://www.cms.gov/Regulations-and-Guidance/Legislation/PaperworkReductionActof1995/PRA-Listing-Items/CMS1252151.html?DLPage=1&DLSort=1&DLSortDir=descending>

Email - Hospice Technical Issues

HOSPICETECHNICALISSUES@cms.hhs.gov

Listserv

<http://www.cms.gov/OpenDoorForums>