

# CLIA Enforcement in AEM

# Purpose

To demonstrate entry of enforcement related items for CLIA labs in AEM

# Enforcement Management

AEM enables RO/COs to manage all tasks related to enforcement:

- Case definition, assignment and maintenance
- Sanction Determination
- Assessment of Civil Money Penalties (CMPs)
- Limitation of specialties
- Notice creation
- Hearings/Appeals tracking
- Entry of Registry Information

# Navigating AEM

- Tree Structure
- AEM Features
- Enforcement Case details
  - Buttons
  - Fields
  - Tabs

# Reports

- Report Types
- Filtering

# Typical Enforcement Case Example

- PT Desk review entered as Stand Alone survey by SA – cited 2 tags
- SA notifies RO of findings
- RO determines PT Desk Review - Non-Initial should be started

# Begin Enforcement Cycle

Begin Enforcement Cycle for A & D MEDICAL PC

Select the Survey Which Begins this Enforcement Cycle

Event ID	Survey Date	Exit Date	Category	Provider ID
+ 52RM11	01/04/2019	01/04/2019	PTRVW, OTHER	33D2085...

Enforcement Date: 01/04/2019

Type Of Event: [Blank]

OK Cancel

- Survey Category shows PTRVW
- Type Of Event default is blank
- You can create a CLIA enforcement case without a survey. Type of Event would be:
  - PT Desk Review – Initial
  - PT Desk Review – Non-Initial
  - Action By Inspector General
  - Other