



## QTSO Memorandum

### MEMO

**NUMBER:** 2023-057

**TO:** QIES State Coordinators

**CC:** CMS Central and Regional Office Contacts

**FROM:** ATG, QIES Technical Support Office

**DATE:** DECEMBER 7, 2023

**SUBJECT:** ASPEN 12.4.4.2 RELEASE

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The ASPEN 12.4.4.2 release will occur over the weekend of December 16, 2023. This release includes updates to ACO, ACTS and ASE-Q and makes updates to the CMS 670 Workload form relating to CLIA surveys only (see below for details). Also, regulation updates will be released for Acute Care Hospital and Community Mental Health Center regulation sets. These changes will be available in ASPEN as of Monday December 18, 2023.

Psychiatric tags 1600 to 1726 are expanded to all hospital types that use A tags. See Regulation Set section below for details.

SAs will see a new survey category for LTC facilities – 9 Risk Based Surveys - but it is for a pilot program performed by CMS surveyors only and should not be used otherwise.

### **ACO and ACTS Thin Installs (States only – Not for CMS Users)**

Thin installs for ACO and ACTS 12.4.2.2 will be posted by 7pm ET close of business on December 8, 2023. These are posted a week in advance of the release weekend to support state internal deployment planning.

[http://star.alpinetg.com/upgrade/Thin/ACO12.4.4.2\\_PR1\\_Thin.zip](http://star.alpinetg.com/upgrade/Thin/ACO12.4.4.2_PR1_Thin.zip)

[http://star.alpinetg.com/upgrade/Thin/ACTS12.4.4.2\\_PR1\\_Thin.zip](http://star.alpinetg.com/upgrade/Thin/ACTS12.4.4.2_PR1_Thin.zip)

### **ASE-Q Update (States only)**

#### **Auto-update posting (States)**

A new ASE-Q auto-upgrade patch file (12.4.4.2) will be posted to the upgrade server by close of business Friday, December 15, 2023.

#### **Thin Install Posting**

For states performing manual updates to ASE-Q, a thin install will be posted to the ASPEN website by close of business on Friday, December 8 to allow for your agency's release planning. **ASE-Q for States Only:**

[http://star.alpinetg.com/upgrade/Thin/ASE12.4.4.2\\_PR1\\_Thin.zip](http://star.alpinetg.com/upgrade/Thin/ASE12.4.4.2_PR1_Thin.zip)

## CMS Users

No action by CMS users is necessary for this ASPEN update. The 12.4.4.2 release will be automatically applied to your systems. CMS users should **not** use any of the download links in this memo.

## Changes to CMS 670 Edits for CLIA Surveys Only

For CLIA surveys only, the following changes to CMS 670 Workload edits will be implemented:

1. Pre-Survey hours shall not be required
2. Off-Site Hours shall not be required (Onsite surveys only)
3. Total Supervisory Review hours shall not be required
4. Total Clerical/Data Entry Hours shall not be required
5. NOTE: For surveys marked as Off-site, either Pre-Survey or Off-Site survey hours are still required to be entered, as there are no On-Site hours.

These changes are phase 1 of 2 relating to CMS CLIA policy updates to survey fees. Phase 2 of these changes occur in the first quarter of 2024. Complete details on this policy change will be provided in the future by the CMS CLIA program team.

## Regulation Set Changes

### (CMHC)

Regulation	Tag	Version	Type of Change	Tag Title
CMHC	M-0107	3.01	RegTxt/IGTxt	Mental Health Counselor
CMHC	M-0115	3.01	NewTag/IGTxt	Marriage and Family Therapist
CMHC	M-0192	3.01	RegTxt	Admission To Receive Partial Hospitalization
CMHC	M-0225	3.01	RegTxt	Update Of The Comprehensive Assessment
CMHC	M-0238	3.01	RegPxt	Interdisciplinary Treatment Team
CMHC	M-0255	3.01	RegTxt	Review of the Person Centered Active Tx Plan
CMHC	M-0304	3.01	Title/RegTxt	Organization, Governance, Admin. Php & Iop
CMHC	M-0313	3.01	RegTxt/IGTxt	Provision of Service
CMHC	M-0356	3.01	IGTxt	Partial Hospitalization Services
CMHC	M-0358	3.01	IGTxt	Partial Hospitalization Services
CMHC	M-0363	3.01	CFR	Compliance With Laws And Regulations
CMHC	M-0364	3.01	NewTag	Intensive Outpatient Services
CMHC	M-0365	3.01	NewTag	Intensive Outpatient Services
CMHC	M-0366	3.01	NewTag/IGTxt	Intensive Outpatient Services
CMHC	M-0367	3.01	NewTag	Intensive Outpatient Services
CMHC	M-0368	3.01	NewTag	Intensive Outpatient Services

## Regulation Set Changes (HOSP)

Reg	Tag	Version	Allowed Facility Types - Old	Allowed Facility Types - New	Tag Title
HOSP	A-1600	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Special Provisions for Psychiatric Hospitals
HOSP	A-1601	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Primarily Engaged in Psych Services
HOSP	A-1605	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Meet Hospital CoPs
HOSP	A-1610	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Maintain Clinical Records
HOSP	A-1615	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Staffing Requirements
HOSP	A-1620	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Special Medical Record Requirements
HOSP	A-1621	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Assessment - Diagnostic Data
HOSP	A-1622	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Patient Legal Status
HOSP	A-1624	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Admission Reason
HOSP	A-1625	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Social Service Records
HOSP	A-1626	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Neurological Examination
HOSP	A-1630	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Psychiatric Evaluation
HOSP	A-1631	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Psych Eval - Within 60 Hours
HOSP	A-1633	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Psych Eval - Mental Status
HOSP	A-1634	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Psych Eval - Onset of Illness
HOSP	A-1635	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Psych Eval - Attitudes and Behavior
HOSP	A-1636	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Psych Eval - Intellectual Functioning
HOSP	A-1637	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Psych Eval - Inventory of Assets
HOSP	A-1640	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Treatment Plan
HOSP	A-1641	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Treatment Plan - Substantiated Diagnosis
HOSP	A-1642	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Treatment Plan - Goals
HOSP	A-1643	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Treatment Plan - Modalities
HOSP	A-1644	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Treatment Plan - Team Responsibilities
HOSP	A-1645	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Treatment Plan - Adequate Documentation
HOSP	A-1650	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Document Therapeutic Efforts
HOSP	A-1655	29.00	011,012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Recording Progress Notes
HOSP	A-1660	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Progress Notes - Frequency
HOSP	A-1661	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Progress Notes Recommendations and Revisions
HOSP	A-1662	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Progress Notes - Patient Progress
HOSP	A-1670	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Discharge Summary
HOSP	A-1671	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Discharge Summary - Recommendations
HOSP	A-1672	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Discharge Summary - Patient Condition

Reg	Tag	Version	Allowed Facility Types - Old	Allowed Facility Types - New	Tag Title
HOSP	A-1680	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Special Staff Requirements
HOSP	A-1685	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Personnel - Evaluate Patients
HOSP	A-1686	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Personnel - Treatment Plans
HOSP	A-1687	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Personnel - Active Treatment
HOSP	A-1688	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Personnel - Discharge Planning
HOSP	A-1690	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Clinical Director
HOSP	A-1691	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Adequate MDs and DOs
HOSP	A-1692	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Clinical Director Requirements
HOSP	A-1693	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Clinical Director - Monitor and Evaluate
HOSP	A-1695	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Availability of Professional Personnel
HOSP	A-1700	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Director of Psychiatric Nursing
HOSP	A-1701	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Director of Nursing Qualifications
HOSP	A-1702	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Director of Nursing - Responsibilities
HOSP	A-1703	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	RN Staffing Patterns
HOSP	A-1704	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Adequate Staffing
HOSP	A-1710	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Psychological Services
HOSP	A-1715	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Social Services
HOSP	A-1716	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Director of Social Work
HOSP	A-1717	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Social Services Staff Responsibilities
HOSP	A-1720	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Therapeutic Activities
HOSP	A-1725	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Therapeutic Activities - Program
HOSP	A-1726	29.00	012,018,01W,01X	011,012,013,015,016,018,01U,01V,01W,01X,01Y,01Z	Therapeutic Activities - Staffing

If you have any questions concerning this information, please contact the QTSO Help Desk at [igies@cms.hhs.gov](mailto:igies@cms.hhs.gov) or 1 (888) 477-7876.