

Death Associated with the Use of Restraints/Seclusion

You can use ACTS to record relevant reporting information for incidents of Death Associated with Restraints/Seclusion (D/R/S). ACTS evaluates intakes for Detail Upload whenever a D/R/S record is added or deleted. Although states may create D/R/S intakes for other provider types, only regional offices may create intakes on hospital facilities. Additionally, ACTS permits RO's to create only incident, not complaint, D/R/S intake records. With this limit, ACTS enforces the expected and permitted behavior dictated by the CMS policy group.

Hospitals

- Only RO users can add or update D/R/S records.
- D/R/S records can be created only for Federal entity-reported incidents.
- The D/R/S grid and input form are always disabled for state intakes.
- If a D/R/S record exists, you cannot change Intake Type from Incident to Complaint, or Intake Subtype from Federal to State.

SA users can still update D/R/S information on Hospital intakes created prior to ACTS 10.1.5, and view D/R/S information entered by the RO on post-ASPEN 10.1.5 Hospital intakes.

A hospital patient has died after being placed in restraints. Although the facility staff who reported the death indicates that restraints were fully medically indicated, this situation requires special handling.

To create a Death-Restraints/Seclusion intake:

1 Create a new intake and enter basic intake information.

- Open ACTS RO.
- Select the **HOSP OPEN** selection set.
- Expand the node for your training letter.
- Right-click a facility whose name begins with your training letter, and click **New Intake**.
- On the Intake tab, for the Intake Type field, select **02 Entity Reported Incident**
- For the Intake Subtype field, select **1 Federally-required, entity-reported**
- In the Residents/Patients/Clients section, click **Add** to enter the name of the person who died, then click **OK**. Enter any name.
- Enter an **Admitted Dated – 06/01/2015**.
- Click the Complainants tab and click the **Add Anonymous** button.

- In the Response information section, select **E – Non-IJ Admin Review/Offsite Investigation** as the Priority.
- On the **Allegations** tab, click **Add** to open the Allegation Input window, and select **05 Restraints/Seclusion - Death** for the Category.
- Click **OK**.

This activates the Death Associated with the Use of Restraints/Seclusion section.

2 Death Associated with the Use of Restraints / Seclusion grid

- Click in the yellow field in the Patient column and select the name of the Patient who died
- For Death type, select **Both**.
- For the **Reported** date and **Date of Death**, enter **yesterday's date**.
- For **Date of Birth** (Hospital and PRTF only), enter any date prior to yesterday's date.

Note: For Hospitals only, you cannot finalize an intake if there is a D/R/S allegation with no record in the D/R/S grid.

3 Death Associated with the Use of Restraints /Seclusion modify window

You can modify required information except Patient name and Date of Birth in the Death Associated with the Use of Restraints/Seclusion window (next step).

- Click the **Modify** button in the applicable row to open the Death Associated with the Use of Restraints/Seclusion window to enter additional details.

You cannot open this window until you have completed the required fields (yellow) in the Death Associated with the Use of Restraints/Seclusion grid on the Allegations tab. Depending on provider type, you can enter a number of additional details about the episode through this window—some required—such as:

- Survey Supervisor/Scheduler
- Restraint/Seclusion Ordered Date/Time
- Staff Involved Trained in Use of Emergency Safety Interventions
- Resident Evaluated Immediately After Restraint Removed/Removed from Seclusion
- Length of Time In Restraints/Seclusion
- Last Patient Assessment Date/Time
- Type of Restraint
- Reasons for Restraint/Seclusion

You can also modify several fields shared with the grid. Patient name, Medicaid Number, and Date of Birth are read-only.

- Enter all required fields:
 - When did patient die: **01While in Restraint, Seclusion, or Both**
 - Cause of Death: **enter anything**
 - Type of Restraint: **05 Physical**
 - Physical Restraint: **01 Side Rails**
- Click **OK** to exit the Death Associated with the Use of Restraints/Seclusion window and return to the Allegations tab.

SA/RO Responsibilities

Note: SA - For Hospitals only, to refer a Restraints/Seclusion - Death complaint/incident to the RO, select Request RO Determination in ACTS.

An action item is sent to all RO Responsible Parties assigned to the intake, or to all RO staff if no RO Responsible Parties are specified.

- RO - For Hospitals only, after you receive the action item, select the applicable RO Determination option. In this case, select **04 RO Authorized** and click **OK**.
 - If you select 05 RO Unauthorized, you receive a message telling you the Priority is updated to H – No Action.
 - If you select 04 RO Authorized and no SA Responsible Parties are assigned, you will receive a message telling you to notify the appropriate SA staff.
- Select the **Intake** tab.
- In the Responsible Parties section, click **Add S.A.**
- Select your SA name to assign yourself as an SA Responsible Party.

An action item is sent to all SA Responsible Parties assigned to the intake.

Note: When you create a new intake with a D/R/S allegation for a Hospital, ACTS checks, in order to minimize duplicates, if a D/R/S allegation for the same resident has already been added to another intake for the same provider within the past 365 days. If so, you will receive a message.

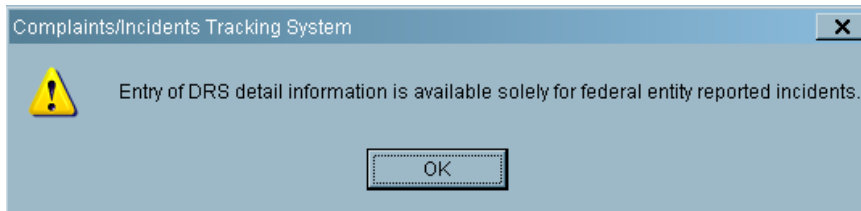
D/R/S Required Fields: Hospital

The following fields in the D/R/S grid and window must be completed for a D/R/S allegation against a Hospital, depending on Received End Date as noted.

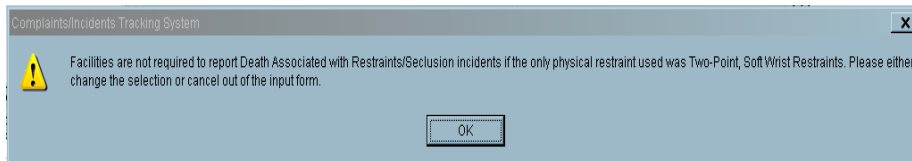
- On the Allegation tab D/R/S grid:
 - Patient name
 - Death Type
 - Reported date of death
 - Date of Death
 - Date of Birth
- In the D/R/S Modify window:
 - When did patient die
 - Cause of Death
 - Date of Death (Populated from Allegation tab)
 - Reported date of death (Populated from Allegation tab)
 - Type of Restraint if Death Type is 01 Restraint or 03 Both
 - Physical Restraint(s)—at least one if Type of Restraint is 05 Physical.
 - Drug Name – Required if type of restraint includes drugs.

Instructor Only: Demo D/R/S Edits

- On other D/R/S intake, attempt to create a D/R/S intake of Complaint type rather than Incident type. Also attempt to change the intake Subtype to non-federal. Edit prevents inconsistencies.



- Attempt to enter Two-Point, Soft Wrist Restraints D/R/S incident. Edit enforces new policy – differs from previous ACTS behavior due to revised CMS policy rules.



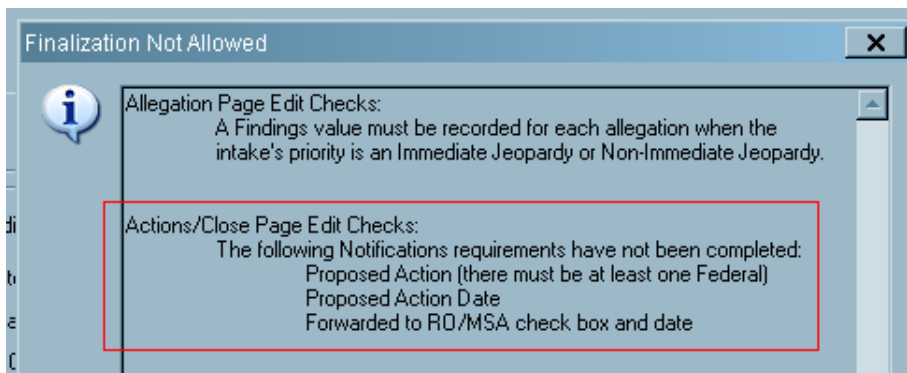
- SA Responsible Parties must be present once RO authorizes an investigation.



- If RO Authorizes an investigation (RO Determination field) on preexisting D/R/S hospital incident, finalization cannot occur until an investigation survey is present.

D/R/S RO Determination field definition: Hospital only. If the RO Determination is 01 or 02 (pre 9.1 intakes) or 04 (post 9.1), the intake cannot be finalized unless the intake is linked to an investigation survey and has been uploaded to national.

- Finalization Edit appears:



- Refer to Required Fields and Edits section of ACTS PG for additional details on D/R/S edits.

To Delete a Restraints/Seclusion - Death allegation:

If you attempt to delete a Death Associated with the Use of Restraints/Seclusion allegation directly from the Allegations grid, you receive a message that directs you to the Death Associated with the Use of Restraints/Seclusion section.

On the intake Allegations tab:

- Click **Delete** in the appropriate row in the Death Associated with the Use of Restraints/Seclusion section.
- Click **Yes** to the confirmation message.
- Select the allegation in the Allegations grid and click the **Delete** button.
- Click **Yes** to the confirmation message.