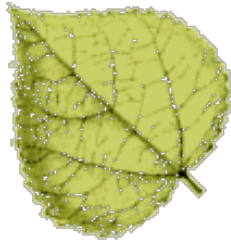


ASPEN Overview



Session

*Introduction &
ASPEN Overview*

*Jeffrey Amirani
Alpine Technology Group*

ASPEN Sessions

Topics Covered...

- ASPEN Suite Overview
- ASPEN Technical Update & Security Requirements
- What's New Since Last QIES Training
- What's Coming
- Lifecycle Scenario
- CLIA Overview
- STAR Overview

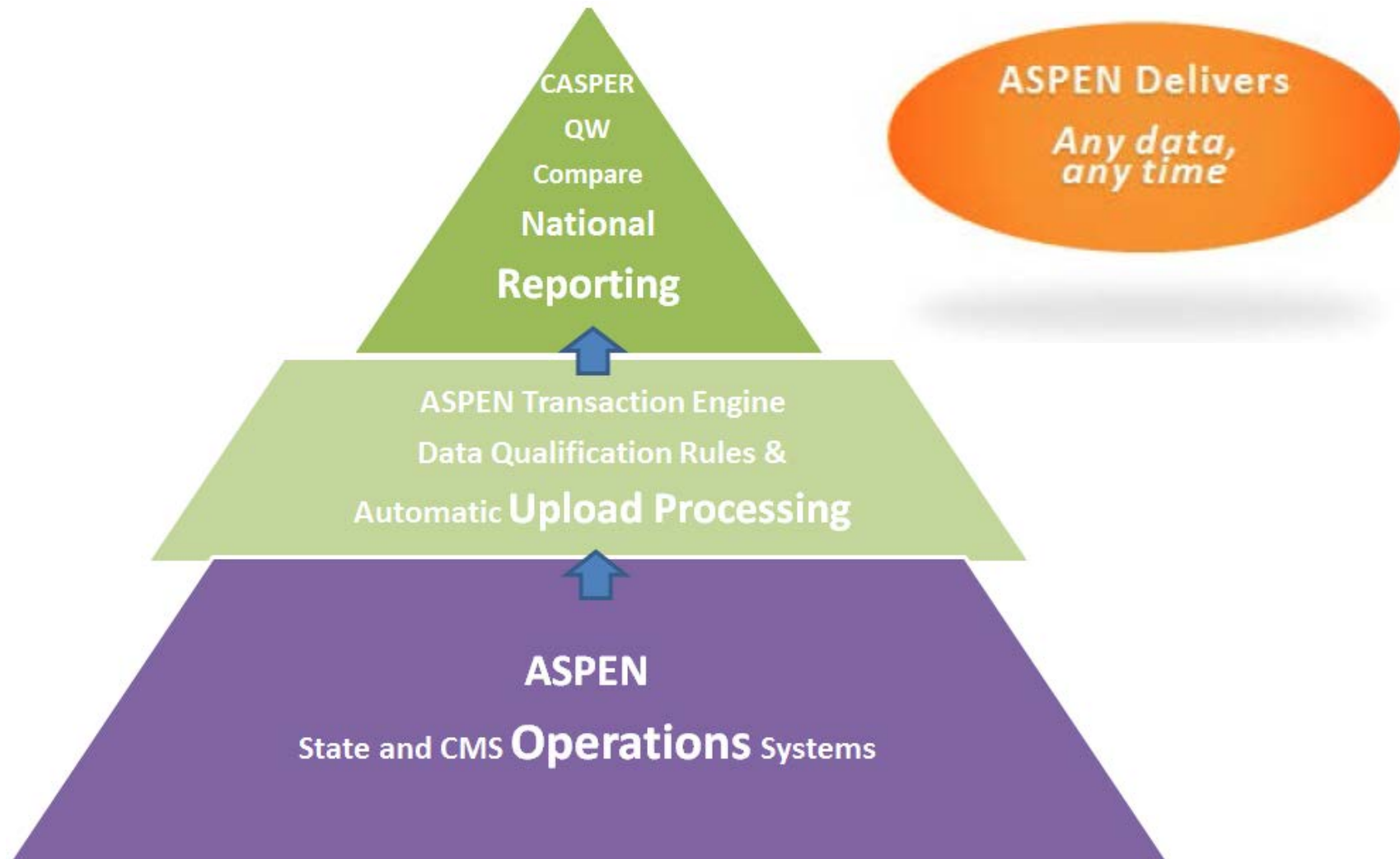
ASPEN Platform for Survey & Certification Process Management

- Comprehensively **manages** and **enforces** all SA and RO business processes, business rules and operations
- **Timely** delivery of **validated** S&C information to national reporting systems (CASPER, QW) and downstream systems (e.g., NH Compare website).
- **Extensible** architecture supports state **licensure** operations
- **Integrates** related processes, e.g., certification, onsite inspection, enforcement, plan of correction
- Extensive operations and management oversight **reporting**
- Coordinates RO and SA **workflow** activities
- Integrates with ASPEN platform for **security**, encryption, and system customization

ASPEN Platform for Survey & Certification Process Management (2)



ASPEN S&C Data to National Reporting



ASPEN Technologies

- ASPEN -> **state-of-the-art** technologies
- Same **technology** as familiar client applications such as MS Word, Excel, Outlook
- **Industry-standard** user interface
- ASPEN Web (e.g., ePOC) based on **CMS Web architecture** specification
- **Compliant** with CMS ARS security standard including NIST FIPS 140.2 Encryption Certification
- Meets **accessibility** standards with 508 Compliance
- Supporting Technologies: Live Update for Mobile users; digital documentation integration; automated E-mail ; letter merge engine

Benefits:

- ***High Performance***
- ***Feature-rich user interface***
- ***Offline capability***
- ***Ease of use and learning***
- ***Highly adaptable to changing needs***

Certification & Survey Statistics 2014

• Total Certifications	43,849
– Initial Certifications	3875
– Re-certifications	39,149
– Validation Certifications	683
– Full Certification After Complaint	142
• Total FMS Cases	1121
• Total Federal Standard & Revisits	125,532
• Total State Licensure Surveys	160,751

ACTS Complaints Statistics - 2014

• Total Events (Hotline, Direct Intake)		251,740
• Total Complaints/Incidents		240,404
– Federal Intakes Received	181,710	
– State-Licensure Intakes	62,547	
– Referred Intakes	5,839	
– EMTALA Intakes	574	
– Intakes on Deemed Providers	18,268	
– DRS Intakes	65	
• Total Investigations		88,542
– Onsite Investigations	87,338	
• Federal-only Onsite	28,386	
• State-only Onsite	22,584	
• Combined Fed/State	36,368	
– Offsite Investigations	1,204	
– Follow-up Investigations	21,239 (not included in Total)	
• Intake Letters Generated		160,758
• Total Letters Generated		639,275
• Direct ACTS Users		9,988

ePOC Statistics

• Total open Federal Nursing Homes (NH)		2260
– Enrolled Facility Users		1815
– Participating NHs*		1324
– Non-participating**		936
• Posted surveys	5315	
– Starting surveys	3479	
– Revisit surveys	1836	
– Health surveys	3554	
– LSC surveys	1727	
– Enforcement-related surveys	4007	
– Non-enforcements related surveys	1308	
• Non-posted surveys	1324	
– Starting surveys	786	
– Revisit surveys	538	
– Health surveys	781	
– LSC surveys	543	
– Enforcement-related surveys	662	
– Non-enforcement related surveys	662	

*A Participating facility is one that has a least one user with a login to CMS Net and ePOC

**A Non-participating facility is one that has no users with a login to CMS Net and ePOC

AEM Enforcement Statistics - 2014

- AEM Cases created in 2014 25,527
 - LTC 24,922
 - HHA 109
 - CLIA 496
- CMPTS cases created in 2014 2134

CLIA Lab Statistics 2014

• Renewal Certificates Mailed	123,718
• Initial Certificates Mailed	15,558
• Lab Type Changes Processed	4,898
• Initial Certifications	1,993
• Re-Certifications	11,197
• Participation Fees Collected	\$57,871,750